U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE FORM PTO-1390 7510.197USWO TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 Unknown UNO UT 979252 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED June 28, 2000 June 28, 1999 PCT/FI00/00586 TITLE OF INVENTION PROCEDURE AND SYSTEM FOR PERFORMING MOTION ESTIMATION APPLICANT(S) FOR DO/EO/US Seppo VALLI Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: [X] This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. [X] This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(l). A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. [X] A copy of the International Application as filed (35 U.S.C. 371(c)(2)) [X] is transmitted herewith (required only if not transmitted by the International Bureau). [X] has been transmitted by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US) A translation of the International Application into English (35 U.S.C. 371(c)(2)). [X] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are transmitted herewith (required only if not transmitted by the International Bureau). have been transmitted by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. [X] have not been made and will not be made. A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). [X] An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). īø. r A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11. to 16. below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. [An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 1 13. [X] A FIRST preliminary amendment. A SECOND of SUBSEQUENT preliminary amendment. [] 14. [] A substitute specification.

16. [X] Other items or information: Petition for Revival; Courtesy Copy of International Application; 1 Sheet of Formal Drawings; Form

A change of power of attorney and/or address letter.

PCT/ISA/210; International Search Report; PCT/IPER/409

15. []

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| John J. Gresens MERCHANT & GO | ULD | SIG | NATURE: | Weller - | |
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| | | | REG | GISTRATION NUMBER | : 33,112 |

10/019922 5**31 Rec'd PC**T/7 3140202001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Seppo VALLI

Docket No.:

7510.197USWO

Serial No.:

unknown

Filed:

concurrent herewith

Int'l Appln No.:

PCT/FI00/00586

Int'l Filing Date:

June 28, 2000

Title:

PROCEDURE AND SYSTEM FOR PERFORMING MOTION

ESTIMATION

CERTIFICATE UNDER 37 CFR 1.10

'Express Mail' mailing label number: EL669944346US

Date of Deposit: December 31, 2001

I hereby certify that this correspondence is being deposited with the United States Postal Service 'Express Mail Post Office To Addressee' service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

By: Name: Chris Stordahl

PRELIMINARY AMENDMENT

Box PCT Assistant Commissioner for Patents Washington, D. C. 20231

Dear Sir:

In connection with the above-identified application filed herewith, please enter the following preliminary amendments.

IN THE ABSTRACT

A new abstract page is supplied to conform to that appearing on the publication page of the WIPO application, but the new Abstract is typed on a separate page as required by U.S. practice.

IN THE SPECIFICATION

A courtesy copy of the present specification is enclosed herewith. However, the World Intellectual Property Office (WIPO) copy should be relied upon if it is already in the U.S. Patent Office.

IN THE CLAIMS

Please amend claim 4 as follows:

4. (Amended) Method as defined in claim 1, characterized in that the method further comprises the following stage:

the possible location areas of the image blocks are determined by using a shift of one pixel, a half of a pixel or other fractional shift.

REMARKS

Applicants respectfully request that the preliminary amendment described herein be entered into the record prior to calculation of the filing fee and prior to examination and consideration of the above-identified application.

A new abstract page is supplied to conform to that appearing on the publication page of the WIPO application.

Claim 4 has been amended to remove the multiple dependencies.

If a telephone conference would be helpful in resolving any issues concerning this communication, please contact Applicants' primary attorney-of record, John J. Gresens (Reg. No. 33,112), at 612.371.5265.

Respectfully submitted,

MERCHANT & GOULD P.C. P.O. Box 2903 Minneapolis, Minnesota 55402-0903 (612) 332-5300

Dated: December 31, 2000

John J. Gresens

J. Gresens:hb

10/019922

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE.

Applicant:

Seppo VALLI

Docket No.:

510 107USWO

Serial No.:

unknown

Filed:

concurrent herewith

Int'l Appln No.:

PCT/FI00/00586

Int'l Filing Date:

June 28, 2000

Title:

PROCEDURE AND SYSTEM FOR PERFORMING MOTION

ESTIMATION

VERSION WITH MARKINGS TO SHOW CHANGES MADE

4. (Amended) Method as defined in any of claims 1-3 claim 1, characterised characterized in that the method further comprises the following stage:

the possible location areas of the image blocks are determined by using a shift of one pixel, a half of a pixel or other fractional shift.

ABSTRACT

The present invention relates to a method and system for performing motion estimation in video image compression. In accordance with the invention, an associative memory device is used in motion estimation, and the group of the image blocks to the processed is restricted on the basis of a mean error and the best match is searched among the image blocks using the PDE method. Thanks to the invention, motion estimation may be performed via software in a regular PC environment and still more efficiently than before.

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PROCEDURE AND SYSTEM FOR PERFORMING MOTION ESTIMATION

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FIELD OF THE INVENTION

The invention relates to video image compression. In particular, the invention relates to a new and advanced method and system of performing motion estimation in conjunction with video image compression.

PRIOR ART

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Previously known are several methods of video image compression. For most of the methods, motion estimation is the stage demanding the most time and computing efficiency. The main principal of motion estimation is as follows. A video image consists of successive frames in a time level. Each frame is divided into image blocks of certain size. Typically a block is, e.g. the size of eight by eight pixels. When coding a frame, e.g. for transmission it is being processed block by block. However, instead of transmitting each block of each frame as such, an attempt is made to search from the previous frame, in the area of the image block being worked, in so called search area, a block either exactly matching with or in a certain scope close to the current block. In case this kind of image block is found, just the motion vector, i.e. vector denoting the location of the found block in relation to the original one is transmitted instead of transmitting the whole block of information. amount of the information to be sent is thereby remarkably reducing.

One other method used in conjunction with video compression is vector quantization. It differs from motion estimation in that the matching tag for the block to be coded is searched from a predetermined code book, instead of searching it from the previous frame. The code book is typically a group of most com-

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monly appearing image blocks. The same code book is naturally used both for the encoders and decoders.

Although using motion estimation and vector quantization remarkably helps to reduce the amount of the information to be transmitted, there are, however, certain problems associated with them. Typically, especially the search phase is time-consuming. The complexity of search increases in relation to the square of the page length of the search area. Therefore, attempts have been made to develop different methods using which the search may be made fastener. The following publications disclose examples of methods with the intent to fasten motion estimation and vector quantization either by focusing on enhancing the search algorithms or using some other means: S. T. Valli, "Very Low Bitrate Coding Using Hierarchical Classified VQ and Cluster Based Segmentation of Motion Information", paper No. 7.3, VLBV94, University of Essex, UK, April 1994; H. Li, A. Lundmark, R. Forchheimer, "Image Sequence Coding at Very Low Bitrates: A Review", IEEE Transactions on Image Processing, Vol.3, No.5, September 1994; H. Abut, ed., "Vector Quantization", IEEE Press, 1990; G. Poggi, "Fast Algorithm for Full-Search VQ Encoding", Electronic Letters, Vol.29, No.123, June 1993; C.-M. Huang, Q. Bi, G. S. Stiles, R. W. Harris, "Fast Full Search Equivalent Encoding Algorithms for Image Compression Using Vector Quantization", Transactions on Image Processing, Vol.1, No.3, 1992.

30 When using the present methods the search area is at maximum typically ± 15 pixels of the point of origin of the block to be coded. And even in that case the search is so complex that carrying out it via software is generally speaking not even possible, instead expensive device-based solutions have to be used, such as specific signal processing circuits. However, shifts even bigger than the ± 15 pixels men-

tioned in conjunction with the applications of low transfer rate/low image frequency are common during the motion. Thus, there is a need for more efficient methods.

The objective of the present invention is to disclose a method and system that would eliminate the drawbacks mentioned above. One specific objective of the invention is to disclose a method and system that makes it possible to perform motion estimation in a regular PC environment and at the same time more efficiently than before.

SUMMARY OF THE INVENTION

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The method of the present invention for performing motion estimation in video image compression comprises the following stages. On frame N, an image block that is wished to be coded is determined. On frame N-1 prior to the aforementioned frame N, a search area of motion vectors corresponding to the location of the block in question is determined. image block average values are determined in every possible location area of the image blocks included in the aforementioned search area using a shift of predetermined size. After this, based on the image block average values of the image blocks, the image blocks included in the aforementioned search area are arranged in a predetermined order. Previously known is a method of performing the aforementioned arrangement by using different sorting algorithms. The disadvantage associated with the algorithms in question is, however, their slowness. Next, an alternative best matching with the image block to be coded is searched among the image blocks of the search area in question. The maximum correspondence may be determined, e.g. using a minimum error, i.e. the blocks with the smallest error between them are best matching with each other.

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The determining of the image block average values is used herein to mean that in a preferred case, the image block average values computed and stored already in connection with the coding of the previous image blocks are retrieved from a specific image block average value memory. This is possible because the search area determined for the image block to be coded is typically partly the same as the search area corresponding to the previous coded image blocks for which the determining of image block average values and storing in the memory in question has already happened. If however, motion estimation is not used in coding the previous image blocks or if the image block average value cannot be found in the aforementioned memory, so the image block average value is computed and stored in the memory in question.

In the advantageous procedure presented above the image block average values of frame N-1 are computed and stored as the image blocks of frame N are being coded. The image block average values may, however, be computed and stored in one go before coding the image blocks. This is, however, not preferable because motion estimation requiring computing of the image block average values is not necessarily performed in coding every image block.

In accordance with the invention, the image blocks included in the search area are sorted by storing the image block average values of the image blocks in question in the memory locations of the directory memory of an associative memory device in an ascending or descending order and by storing in the memory location of the output memory corresponding to each memory location of the directory memory the location data of the image blocks whose image block average value corresponds to the value stored in the memory location of the directory memory in question. As a key word of the aforementioned associative memory device, the image

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block average value of the image block to be coded is used.

An associative memory device is used herein to mean a digital memory device, such as, e.g. a memory chip in which the regular address coding logic has been substituted with a specific directory memory. The directory memory consists of memory locations with, e.g. a certain character string stored in each one of them. In addition, the associative memory device includes output memory in the memory locations of which the actual data is typically stored. Each one of the memory locations of the output memory is associated with one or more memory locations of the directory memory. A certain key word, which might be, e.g. a character string, is given to the memory to serve as an input. In case a matching tag with the key word is found in some memory location of the directory memory, as output is received the content of the memory location of the output memory corresponding to the memory location of the directory memory in question. An example of an associative memory device is CAM (Content Addressable Memory, CAM). A more detailed description of an associative memory device can be found, e.g. in the publication T. Kohonen, "Self-Organization and Associative Memory", Springer-Verlag, 1984.

Further in accordance with the invention, the group of the image blocks to be processed is restricted on the basis of a mean error in accordance with the following equation:

 $Y_A = \{y_i : |\xi_x - \xi_i| \le D_{\min}\}$, in which

 Y_A represents the restricted group of the image blocks to be processed,

 y_i is the candidate block i,

 ξ_{x} is the image block average value of the image block to be coded.

 ξ_i is the image block average value of the candidate block i, and

 $\ensuremath{D_{\mathrm{min}}}$ is the error of the best candidate block per each pixel.

D_{min} may be computed, e.g. as an RMS error or as a MAD error (Root-Mean-Squared, RMS; Mean-Absolute-Distortion, MAD). A more detailed description of restriction on the basis of mean error can be found, e.g. in the aforementioned publications C.-M. Huang, Q. Bi, G. S. Stiles, R. W. Harris, "Fast Full Search Equivalent Encoding Algorithms for Image Compression Using Vector Quantization", IEEE Transactions on Image Processing, Vol.1, No.3, July 1992 and G. Poggi, "Fast Algorithm for Full-Search VQ Encoding", Electronic Letters, Vol.29, No.123, June 1993.

Furthermore in accordance with the invention, the best match is searched among the blocks included in the restricted group of images in question by using the PDE method (Partial Distance Elimination, PDE).

PDE is a method known in itself, which is used, e.g.
in vector quantization for fastening the search. The error between the image block to be coded and the candidate block is computed normally pixel by pixel. If the error exceeds the minimum error up to that stage, the computing is stopped and the comparison is done in the next candidate block.

In an embodiment of the invention, a predetermined area of regular shape around the image block to be coded is used as search area. The search area is typically, e.g. the shape of a quadrangle.

In an embodiment of the invention, an area is defined that is comprised of an area of one or more objects moving fast between successive image frames, and the said area is used as search area.

In an embodiment of the invention, the possi-35 ble location areas are defined by using a shift of one pixel, a half of a pixel or some other fractional shift. The advantage of the present invention when compared with the prior art is that it remarkably fastens motion estimation and thereby makes it possible to perform motion estimation via software in a regular PC environment and still more efficiently than before. Since motion estimation may be performed via software, there is no need for expensive device-based solutions, and therefore, by the aid of the invention, motion estimation may be made more advantageous than before.

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LIST OF FIGURES

In the following section, the invention is described by way of examples of its embodiments with reference to the attached drawing, wherein

Fig. 1 represents a system of the invention described in a block diagram.

DETAILED DESCRIPTION OF THE INVENTION

Fig. 1 represents the components of a system of the invention. The system has been implemented, 20 e.g. in a form of programmable components in a regular PC device. The system comprises means of determining 1 using which the image block average values are determined in the location areas of the image blocks included in the search area corresponding to the loca-25 tion of the image block to be coded, means of sorting 2 using which the image blocks are sorted on the basis of their image block average values, and means of searching 3 using which an alternative best matching with the image block to be coded is searched among the 30 image blocks. The means of determining 1 comprise an image block average value memory (not presented), wherein the computed image block average values are stored and where they are retrieved from in case needed. In practice, the image block average value 35

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memory may be, e.g. a certain part of the memory space of a PC device.

In accordance with the invention, the system comprises a CAM memory 2 by means of which the image blocks are sorted by storing their image block average values in the memory locations of the directory memory in an ascending or descending order and by storing in the memory location of the output memory corresponding to each memory location of the directory memory the location data of the image blocks whose image block average value corresponds to the value stored in the memory location of the directory memory in question. In practice, the CAM memory 2 is advantageously a certain part of the memory space of a PC device, but it may be, e.g. a separate memory chip. Further in accordance with the invention, the system comprises means 3 for restricting the group of the image blocks to be coded on the basis of a mean error, and means 3 for searching among the image blocks included in the restricted group of images the best match by using the PDE method.

On frame N, an image block that is wished to be coded is determined. On frame N-1 prior to the aforementioned frame N, a search area of motion vectors corresponding to the location of the block in question is determined. The image block average values are determined in every possible location area of the image blocks included in the aforementioned search area using a shift of predetermined size, such as a shift of one pixel, a half of a pixel or some other fractional shift. After this, the image blocks in question are arranged in a predetermined order on the basis of their image block average values and an alternative best matching with the image block to be coded is searched among the image blocks of the search area in question. The maximum correspondence may be determined, e.g. using a minimum error, i.e.

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blocks with the smallest error between them are best matching with each other.

In practice, the determining of image block average values happens by retrieving the image block average values computed and stored already in connection with the coding of the previous image blocks from a specific image block average value memory. This is possible because the search area determined for the image block to be coded is typically partly the same as the search area corresponding to the previous coded image blocks for which the determining of image block average values and storing in the memory in question has already happened. If however, motion estimation is not used in coding the previous image blocks or if the image block average value cannot be found in the aforementioned memory for some reason or other, so the image block average value is computed and stored in the memory in question.

In the advantageous procedure presented above the image block average values of frame N-1 are computed and stored as the image blocks of frame N are being coded. The image block average values may, however, be computed and stored in one go before coding the image blocks. This is, however, not preferable because motion estimation requiring computing of the image block average values is not necessarily performed in coding every image block.

In accordance with the invention, the image blocks are sorted by storing their image block average values in the memory locations of the directory memory of the CAM memory 2 in an ascending or descending order. Further, the location data of the image blocks whose image block average value corresponds to the value stored in the memory location of the directory memory in question is stored in the memory location of the output memory corresponding to each memory location of the directory memory. As a key word of the CAM

memory 2, the image block average value of the image block to be coded is used. As search area, a predetermined area of regular shape around the image block to be coded is used. The search area is, e.g. the shape of a quadrangle. Alternatively, the search area may be an area comprised of an area formed by one or more objects moving fast between successive frames.

The invention is not restricted to the examples of its embodiments presented above, instead many variations are possible within the scope of the inventive idea defined by the claims. One such variation is the using of the present invention for the searching of matching image blocks between the images of a pair of stereo images (the left-hand and right-hand image). This procedure similar to motion estimation is described, e.g. in the publication I. Dinstein et al., "On the Compression of Stereo Images: Preliminary Results", Signal Processing 17 (1989), s. 373-382.

CLAIMS

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1. A method for performing motion estimation in video image compression, which method comprises the following stages:

 $\qquad \qquad \text{the image block to be coded is determined on } \\ \text{frame N,} \\$

the search area corresponding to the location of the image block in question is determined on frame N-1,

the image block average values are determined in the location areas of the image blocks included in the search area in question by using a shift of predetermined size,

the image blocks included in the search area in question are arranged in a predetermined order on the basis of the image block average values of the image blocks in question.

an alternative best matching with the image block to be coded is searched among the image blocks of the search area in question, characterised in that the method further comprises the following stages:

the image blocks included in the search area are sorted by storing the image block average values of the image blocks in question in the memory locations of the directory memory of an associative memory device in an ascending or descending order and by storing in the memory location of the output memory corresponding to each memory location of the directory memory the location data of the image blocks whose image block average value corresponds to the value stored in the memory location of the directory memory in question,

as a key word of the aforementioned associa-35 tive memory device, the image block average value of the image block to be coded is used,

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the group of the image blocks to be processed is restricted on the basis of a mean error, and

the best match is searched among the image blocks included in the restricted group of images by using the PDE method.

2. Method as defined in claim 1, characterised in that the method further comprises the stage:

as search area, a predetermined area of regu-10 lar shape around the image block to be coded is used.

3. Method as defined in claim 1, characterised in that the method further comprises the following stages:

an area is defined that is comprised of an area of one or more objects moving fast between successive image frames,

and the said area is used as search area.

4. Method as defined in any one of claims 1 - 3, characterised in that the method further comprises the following stage:

the possible location areas of the image blocks are determined by using a shift of one pixel, a half of a pixel or other fractional shift.

5. A system for performing motion estimation in video image compression, which system comprises:

means of determining (1) by means of which the image block average values are determined in the location areas of the image blocks included in the search area corresponding to the location of the image block to be coded by using a predetermined shift on the image frame prior to the image frame including the image block to be coded in question,

means of sorting (2) by using which the image blocks are sorted on the basis of the image block average values of the image blocks in question, and

means of searching (3) by using which the variant best matching with the image block to be coded

is searched among the image blocks, characterised in that the system further comprises:

an associative memory device (2) by means of which the image blocks included in the search area are sorted by storing the image block average values of the image blocks in question in the memory locations of the directory memory of the associative memory device in question (2) in an ascending or descending order and by storing in the memory location of the output memory corresponding to each memory location of the directory memory the location data of the image blocks whose image block average value corresponds to the value stored in the memory location of the directory memory in question, and the image block average value of the image block to be coded is used as a key word of the associative memory device (2).

means (3) for restricting the group of the image blocks to be coded on the basis of a mean error, and

means (3) for searching the best match among the image blocks included in the restricted group of images by using the PDE method.

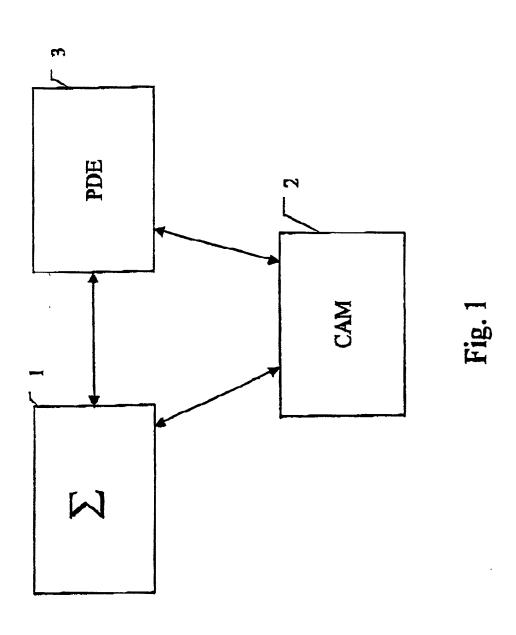
(57) ABSTRACT

The present invention relates to a method and system for performing motion estimation in video image compression. In accordance with the invention, an associative memory device is used in motion estimation, and the group of the image blocks to be processed is restricted on the basis of a mean error and the best match is searched among the image blocks using the PDE method. Thanks to the invention, motion estimation may be performed via software in a regular PC environment and still more efficiently than before.

Inventor: Seppo VALLI
Docket No.: 7510.197USWO
Title: PROCEDURE AND SYSTEM FOR PERFORMING MOTION ESTIMATION
Attorney Name: John J. Gresens
Phone No.: 612.371.5265
Sheet 1 of 1

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1/1



INSTRUCTIONS

Insert TITLE of invention

If "b" checked, complete

If PCT Application

Insert Int. application
number & filing date

Prior applications Check a pr b

Check a or b

MERCHANT & GOULD

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APR 3 0 2002

United States Patent Application

OMBINED DECLARATION AND POWER OF ATTORNEY

below named inventor I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; that

I verily believe I am the original, first and sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

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| Finland | 991469 | 28/06/1999 | | |
| ALL FOREIGN APPI | LICATIONS, IF ANY, FILED BEFO | RE THE PRIORITY AP | PLICATION(S) | |
| COUNTRY | APPLICATION NUMBER | DATE OF FILING DATE (day, month, year) (day, month) | | |
| | | | | |

I hereby claim the benefit under Title 35, United States Code, § 120/365 of any United States and PCT international application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

If "b" checked, complete

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Each inventor must sign & date Note: No legalization or

other witness required

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| U.S. APPLICATION | NUMBER E | DATE OF FILING (day, month, year) | STATUS (patented, pending, abandoned) |
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I hereby appoint the following attorney(s) and business in the Patent and Trace wask Office expected herewith:

| business in the Par | tent and Trade | of TRADE | rewith: | | |
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For Additional Inventors:

☐ Check box and attach sheet with same information, including date and signature.